



## APPRENTICE AUCTIONEER RULES AND REGULATIONS

### **§1117. Qualifications for Licensing as an Apprentice Auctioneer**

A. In order to qualify as an apprentice auctioneer, the applicant must comply with the following.

1. The applicant must be at least 18 years of age and have a high school diploma or its equivalent.
2. The applicant must be a legal resident of the state of Louisiana.
3. The apprentice applicant must have worked in the auction business for a minimum of one year prior to application for an apprenticeship.
4. The applicant must submit the following:
  - a. an application for licensing on a form to be provided by the board;
  - b. a good and sufficient surety bond executed by the applicant as principal and by a surety company qualified to do business in the state of Louisiana as surety in the amount of \$10,000, which shall be delivered to the board at the time of the initial license application (see §1201);
  - c. a certified copy of voter registration or other appropriate proof of residency;
  - d. application fee in the sum of \$100;
  - e. a form signed by the supervising Louisiana resident licensed auctioneer stating that the apprentice will be serving under him for the term of one year;
  - f. a copy of the rules and regulations signed by both the apprentice and the supervising auctioneer.
5. The apprentice must work under a Louisiana licensed auctioneer during his one-year training period who has qualified as a supervising auctioneer pursuant to §1118 of this Chapter.

### **§1118. Qualifications to be Supervising Auctioneer for Apprentice**

A. In order to qualify as a supervising auctioneer for an apprentice, the auctioneer must comply with the following.

1. The auctioneer must be a Louisiana resident.
2. The auctioneer must have been licensed by the board for at least five consecutive years at the time of the application by the apprentice.
3. The auctioneer cannot have any other apprentice being supervised at the time of application. A supervising auctioneer may have only one apprentice working under his supervision at any time.

### **§1119. Apprentice Auctioneer Licensing**

A. The license of an apprentice auctioneer shall be automatically suspended upon the revocation or suspension of the license of the Louisiana auctioneer who is his sponsoring supervisor or in the event the supervising auctioneer becomes incapacitated; however, the apprentice auctioneer may retain his license by transferring to the supervision of another Louisiana licensed auctioneer within 21 days of the effective date of such revocation or suspension. If the apprentice auctioneer does not transfer to another Louisiana licensed auctioneer within the 21 days, he must start his one-year apprentice program over.

B. When an apprentice auctioneer's employment with the supervising auctioneer is terminated for any reason, the supervising auctioneer shall immediately contact the board office.

C. There will be just one licensed auctioneer supervisor at a time for an apprentice auctioneer. Should the apprentice auctioneer practice under another licensed auctioneer without a release from the first, the apprentice auctioneer's license shall be suspended.

D. The length of time that an applicant shall work as an apprentice auctioneer shall be 12 months. During that period of time the apprentice auctioneer shall work and be directly involved in at least 80 hours over a minimum period of 12 days.

E. The apprentice auctioneer shall receive training in the following areas:

1. the establishment and use of escrow accounts;
2. bid calling;
3. pre-auction activities which will include: handling consignments, and all related matters, including but not limited to consignment contracts, the assignment of lot numbers, the establishment of conditions and reserves for consignments, and advertising;
4. auction day activities which will include: clerking at the auction, including writing sale tickets, keeping track of all sales, and any related paper work or using appropriate auction software, ringman/spotter;
5. post auction activities which will include: closing out the auction and maintaining and balancing auction account records, collecting and remitting sales taxes to the proper government entities, settlements, closeouts and payments to consignors.

F. Any person acting as an apprentice auctioneer within the meaning of these rules and regulations without a license and any person who violates these rules and regulations shall be subject to revocation of his license. The Louisiana auctioneer serving as sponsoring supervisor is also subject to discipline of his license should his apprentice auctioneer violate these rules and regulations.

G. This Subsection of the rules and regulations specifically prohibits the apprentice auctioneer from calling an auction unless the supervising licensed auctioneer is directly supervising the sale sight at all times. If he does call an auction outside of the presence of his supervising auctioneer, his license will be suspended.

H. Upon completion of the one-year apprentice program, the apprentice auctioneer may apply to take the Louisiana auctioneer's examination and become licensed in the state of Louisiana. He must submit the following in order to be considered for administration of the test:

1. a completed application for license as an auctioneer;
2. posting of a \$10,000 surety bond, made payable to the Louisiana Auctioneers Licensing Board (see §1201);

3. a certified copy of voter registration or other appropriate proof of residency;
4. application fee in the sum of \$300 (this includes the \$150 license fee, the \$75 application fee, and \$75 examination fee);
5. a form signed by the supervising Louisiana licensed auctioneer stating that the apprentice did serve under him for the term of twelve months and during which he worked at least 80 hours over a minimum period of 12 days. Evidence of the number of days/ auctions, along with the place, date and time and the type of work done by the apprentice shall be established by completion of a form to be provided by the board.

Falsification of any entry which has been signed as approved by the sponsor/auctioneer shall be grounds for immediate revocation of both the sponsor/auctioneer's license, as well as the apprentice auctioneer's license.

If an apprentice auctioneer does not meet the requisites outlined above, he may apply to the Board for a sixty (day extension within which to comply to become eligible for application as a standard auctioneer in the state of Louisiana.

In no instance, other than as outlined above, shall an apprentice auctioneer be eligible for application and testing to become a regular auctioneer unless the required hours above have been met.

### Agreement to Abide by the Apprentice Rules and Regulations

Apprentice Name: \_\_\_\_\_

Auctioneer Name: \_\_\_\_\_

We do hereby certify that we have read and understand the foregoing rules and regulations governing the licensing of apprentice auctioneers and do hereby certify that we will abide by said rules and regulations.

\_\_\_\_\_  
Apprentice Auctioneer Signature

\_\_\_\_\_  
Date

\_\_\_\_\_  
LA Licensed Auctioneer Signature

\_\_\_\_\_  
Date